



## **Audit and Risk Management Committee**

**Date:** MONDAY, 24 JULY 2017

**Time:** 2.00 pm

**Venue:** COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

**Members:**

Alderman Ian Luder (Chairman)	Deputy Jamie Ingham Clark (Ex-Officio Member)
Alderman Nick Anstee (Deputy Chairman)	Alderman Ian Luder (Chairman)
Randall Anderson	Kenneth Ludlam (External Member)
Alderman Nick Anstee (Deputy Chairman)	Paul Martinelli
Chris Boden	Caroline Mawhood (External Member)
Alderman Charles Bowman	Jeremy Mayhew (Ex-Officio Member)
Henry Colthurst	Hugh Morris (Ex-Officio Member)
Hilary Daniels (External Member)	
Sheriff & Alderman Peter Estlin	

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**Lunch will be served in Guildhall Club at 1PM**  
**NB: Part of this meeting could be the subject of audio or video recording**

**John Barradell**  
**Town Clerk and Chief Executive**

## Part 1 - Public Agenda

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**  
To agree the public minutes and non-public summary of the meeting held on 23<sup>rd</sup> May 2017.  
**For Decision**  
(Pages 1 - 8)
4. **OUTSTANDING ACTIONS OF THE COMMITTEE**  
Report of the Town Clerk.  
**For Information**  
(Pages 9 - 12)
5. **DEEP DIVE: CR01 RESILIENCE - EVENT OR SITUATION RELATED TO TERRORISM OR OTHER SERIOUS EVENT/MAJOR INCIDENT**  
Report of the Town Clerk.  
**For Information**  
(Pages 13 - 20)
6. **FIRE SAFETY IN THE CITY'S RESIDENTIAL BLOCKS**  
Report of the Director of Community and Children's Services.  
**For Information**  
(Pages 21 - 28)
7. **ANNUAL GOVERNANCE STATEMENT 2016/17**  
Report of the Town Clerk and the Chamberlain.  
**For Decision**  
(Pages 29 - 48)
8. **CITY FUND AND PENSION FUNDS FINANCIAL STATEMENTS 2016/17**  
Report of the Chamberlain.  
*This report and financial statements will be presented to the Finance Committee on 25<sup>th</sup> July and the Court of Common Council on 12<sup>th</sup> October 2017.*  
  
Please note: BDO's summary report will follow shortly.  
**For Decision**  
(Pages 49 - 216)
9. **EXTERNAL RISK REVIEW - RISK APPETITE**  
Report of the Chamberlain.  
**For Information**  
(Pages 217 - 220)
10. **INTERNAL AUDIT UPDATE**  
Report of the Head of Internal Audit and Risk Management.  
**For Information**  
(Pages 221 - 236)
11. **INTERNAL AUDIT RECOMMENDATIONS FOLLOW-UP REPORT**  
Report of the Head of Internal Audit and Risk Management.  
**For Information**  
(Pages 237 - 244)

12. **COMMITTEE WORK PROGRAMME**

Report of the Town Clerk.

**For Information**  
(Pages 245 - 246)

13. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

14. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

15. **EXCLUSION OF THE PUBLIC**

**RESOLVED:** That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

**For Decision**

**Part 2 - Non-Public Agenda**

16. **NON-PUBLIC MINUTES OF THE PREVIOUS MEETING**

To agree the Non-Public minutes of the meeting held on 23 May 2017.

**For Decision**  
(Pages 247 - 248)

17. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

18. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**